

# 2023 OTR Global LLC Business Continuity Plan Summary

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OTR Global LLC ("OTR") takes its commitment to our clients very seriously, and has complied with all US Securities law in regard to planning for significant business disruptions. OTR has developed a business continuity plan describing how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

## Contacting Us

In the event of a disaster, OTR personnel will receive emergency notification and instructions, and will attempt to contact you. If after a significant business disruption you cannot contact us as you usually do, you should go to our web site at <http://www.otrglobal.com>. OTR does not hold any client assets.

## Our Business Continuity Plan

We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our Clients to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as reasonable, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; and critical regulatory reporting.

## Varying Disruptions

Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the area in which our firm is located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed. In a disruption affecting our area, we will transfer our operations to a site outside of the affected area, and recover and resume business as soon as possible. In either situation, we plan to continue in business and notify you through our web site <http://www.otrglobal.com>. If the significant business disruption is so severe that it prevents us from remaining in business, we will assure our customers prompt response.

## For more information

If you have questions about our business continuity planning, please contact your sales representative.