

ITEM 1 COVER PAGE

OTR Global LLC
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This brochure provides information about the qualifications and business practices of OTR Global LLC (“OTR” or “the Firm”). If you have any questions about the contents of this brochure, please contact us at 914-908-3994 or 415-675-7660. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Additional information about OTR Global LLC also is available on the SEC’s website at www.adviserinfo.sec.gov. Registration as an investment advisor does not imply a certain level of skill or training.

OTR Global LLC FORM ADV PART II
February 10, 2016

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Item 4 Advisory Business

OTR Global LLC (“OTR” or the “Firm”) is a Registered Investment Advisor with the State of California that distributes its research directly as well as through its broker-dealer affiliate, OTR Global Trading LLC (“OTRT”), which is an SEC-registered broker dealer, a member of the NASDAQ, BATS, ISE Exchanges and FINRA and is registered in 36 states. OTR was founded in 1995. Through the Holding Company OTR Global Holdings II Inc, the direct owner of OTR, the Firm’s principal owners are the OTA Financial Group LP, Liquidnet Inc, its founder and employees.

OTR issues marketplace research reports and develops other products derived from marketplace research. OTR’s marketplace research reports and other products differ from traditional investment research because they focus on business trends and industry conditions, rather than on statistical analysis or financial valuation and earnings models of individual issuers. OTR does not provide advice on any specific types of securities issued by the companies in the industries it covers. Unlike traditional Wall Street research, which is usually based upon a valuation of an issuer’s publicly traded securities, OTR produces marketplace research reports and other products based upon interviews of consumers and other customers, suppliers, competitors, vendors and industry experts. OTR’s research products are produced on a scheduled basis for all clients, and on a custom basis when commissioned by specific clients.

Item 5 Fees and Compensation

The fees for OTR’s marketplace research and products desired from marketplace research, are determined by the number of users per client, the types of products used by the client, and the amount of custom work effected on the client’s behalf. The Fees are generally negotiable and calculated on an annual basis. The clients are billed in advance on a quarterly basis and payments are due on a regular basis. The Fees are paid either directly to OTR, by commission sharing arrangements with a broker dealer of the client’s choosing, or by commission payments to the OTR’s affiliated broker dealer, OTRT. The Firm’s policy is not to provide refunds for prepaid services and sometimes offers its services on a trial basis.

Item 6 Performance-Based Fees and Side by Side Management

OTR does not manage client assets and does not charge performance based fees.

Item 7 Types of Clients

OTR Global's client base is comprised of institutional asset managers. The Firm has conducted custom research projects on behalf of industry participants, but it is not a regular part of its business.

Item 8 Methods of Analysis, Investment Strategies and Risk of Loss

OTR does not analyze securities. OTR publishes marketplace research reports and related products. Registrant's research products are based upon interviews of consumers, suppliers, competitors, vendors and industry experts about the market conditions facing the industry in question. Topics surveyed and analyzed include product/service growth rate versus expectations, relative market share and economic/structural changes in the marketplace.

OTR does not recommend the purchase or sale of securities and does not recommend any investment strategy to clients. The Firm's research and related products are factors to be considered in conjunction with traditional investment analysis. OTR's clients are sophisticated investors who understand that the Firm's research and other products are one aspect upon which an investment decision may be based.

Item 9 Disciplinary Action

OTR Global Trading LLC ("OTR" Broker-Dealer #138116) a broker-dealer affiliated by common ownership with the Firm, has been found by the BATS Exchange to have been involved in violations of its rules, as detailed in Item 11E(2) of OTR's Form BD; Please refer to the OTR Form BD for more information.

OTA LLC ("OTA"; Broker-Dealer #25816), a broker-dealer affiliated by common ownership with the Firm, has been found by an SRO to be involved in violations of its rules, as detailed in Item 11E(2) of OTA's Form BD; Please refer to the OTA Form BD for more information.

Item 10 Other Financial Industry Activities

OTR is affiliated by common ownership with OTRT and OTA, both registered broker-dealers. OTR is compensated by OTRT for providing its research to some of OTRT's brokerage customers. As part of this arrangement, certain customers of OTRT are given access to OTR's employees to discuss its research reports and products. Also, some of OTR's employees, who assist OTRT in marketing its research reports and other related products to OTRT's brokerage customers, are registered representatives of OTRT and may be compensated by OTRT for their marketing efforts. OTA is an affiliated broker dealer engaged in market making and proprietary trading for its own accounts.

Item 11 Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

OTR does not buy or sell securities for its own account, and does not recommend the purchase or sale of securities to its clients. OTRT acts as broker or agent for the accounts of others and it is possible that OTRT may execute trades for or with clients of OTR on a principal or agency basis.

OTR may issue reports discussing companies whose securities are or have been owned, bought, sold or sold short by various related persons, including OTA, employees, officers and directors of OTR. At all times, reports issued by OTR will be made independently of the security holdings of OTR's related persons. OTR and its affiliated broker-dealers, OTRT and OTA, have adopted an Employee Personal Trading Policy designed to protect clients' interests in circumstances in which the associated persons personally trade. The affiliated broker-dealers, OTRT and OTA, have adopted an Ethical Wall procedure that OTR believes will protect the interest of its clients. Registrant's employees must provide duplicate statements and confirmations of their brokerage accounts to OTR for compliance purposes. Registrant's employees are required to avoid security transactions and activities for their own account that might conflict with or be detrimental to the best interest of Registrant's clients.

Item 12 Brokerage Practices

OTR does not manage client assets. The Firm does introduce its affiliate broker dealer OTRT for execution of client orders. OTR is compensated by its affiliate, OTRT, for providing research and other related products to OTRT's customers. When discussing its products with clients and potential clients, OTR may indicate to those persons that its products and execution services are available through OTRT.

Item 13 **Review of Accounts**

The Firm reviews its accounts on an ongoing basis to ensure client satisfaction as well as its applicable regulatory requirements. The Firm reviews the research usage and interaction with clients.

Item 14. **Client Referrals and Other Compensation**

None.

Item 15 **Custody**

OTR does not have custody of funds, assets or securities.

Item 16 **Investment Discretion**

OTR does not have investment discretion over client funds, assets or securities.

Item 17 **Voting Client Securities**

OTR does not vote the proxies of client securities.

Item 18 **Financial information**

OTR does not seek prepayment for its services and has not been bankrupt in the past 10 years.

Item 19 **Financial information**

A. Principal Executive Officers and Management Persons

Causey Stanton Green Jr. - Chief Executive Officer

Born: 5/09/1967

Education: B.A., Dartmouth College

Business Experience Previous 5 years: OTR Global LLC since 2007, Managing Director - Vista Research

Milan Del Johnson – Chief Financial Officer

Born: 11/20/1961

Education: B.S. Bucknell University

Business Experience Previous 5 years: OTR Global LLC since 4/2015; McGraw-Hill Financial - Division CFO

Thomas J. Hickey – Chief Compliance Officer/Chief Operations Officer

Born: 11/28/1962

Education: B.A., Fordham University; J.D., Catholic University; Columbus School of Law

Business Experience Previous 5 Years: OTR Global Since 3/2015; COO of RMJM; CEO of True North FX Capital

Mark Conley - Director of Research

Born: 10/17/1962

Education: B.S., Yale University; M.B.A., Columbia University

Business Experience Previous 5 years: Director of Research at MKM; Director of Research at Gleacher & Company; OTR Global LLC since 2013

Katie Sanborn - Senior Editor

Born: 7/11/1961

Education: B.A., Mills College; M.S. Journalism, Northwestern University

Business Experience Previous 5 years: OTR Global LLC since 1997

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A. Other Business

Principal Executive Officers and Management Persons of OTR who are engaged in other business, have disclosed their activities on their individual Form U4's; Item 13.

B. Advisory Services with Performance-Based Fees

Supervised persons are not compensated for advisory services with performance-based fees.

C. Arbitration Claims or Civil, Self-Regulatory or Administrative Proceedings

No management persons have been involved in any such events.

D. Relationships with Issuers

There are no relationships or arrangements between management persons and any issuer of securities.